# THE PADEN

# **CHANGE OF** CORRESPONDENCE ADDRESS

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STATEMENT UNDER 37 CFR 3.73(b)

Application Number:	10/671,143
Filing Date:	September 25, 2003
Inventor(s):	Ji Ung Lee et al.
Group Art Unit:	2879
Examiner Name:	
Attorney Docket Number:	125695-1

I hereby app	point:					
$\square$	Practitioners at C	ustomer Number	r: <b>006147</b>			
	OR					
	Practitioner(s) named belo	w:				
	Name	Registrat	Registration Number			
	orney(s) or agent(s) to prosecute Patent and Trademark office co		ed above, and to transact all business in the			
Please chang	ge the correspondence address	for the above-identifie	d application to:			
	Firm or Individual Name	GENERAL ELECTR	IC COMPANY			
	Address	GLOBAL RESEARC	H			
	Address	PATENT DOCKET RM., BLDG. K1-4A59 PO BOX 8				
	City	SCHENECTADY	State NY Zip 12301			
	Country	USA				
I am the:	Applicant/Inventor					
$\square$	Assignee of record of the e	entire interest.				
	Statement under 37 CFR 3					
	STATEMENT UNDER 37 CFR 3.73(b)					
above by virt office at Reel	ue of an assignment from the in	ventor(s). The assignme	gnee of the patent application identified nt was recorded in the Patent and Trademark frame information is available, a copy of the			
The undersig	ned, whose title is supplied belo	ow, is empowered to sign	this statement on behalf of the assignee.			
		Applicant or Assignee	of Record			
Name &Title	Robert P. Santandrea, Pa	tent Counsel	Registration No. 45,072			
Signature	Why the South	Tuestille-				
Date	C/C/\U Telepho	one (518) 387-6304				
Date	15/5/09   Telepho	(310) 301-0304				

Docket No.: 125695-1

(RD-30593)

# **ASSIGNMENT**

WHEREAS, Ji Ung (NMN) Lee and William Hullinger Huber (hereinafter referred to as "ASSIGNORS") have invented certain new and useful improvements in an invention entitled:

# SELF-ALIGNED GATED CARBON NANOTUBE FIELD EMITTER STRUCTURES AND ASSOCIATED METHODS OF FABRICATION

<del></del>	for	which	U.S.	Patent	Application	No.	 was	filed	on
				_; or					

\_X\_ for which an application for a United States patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, General Electric Company, a New York Corporation, (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire right, title, and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon:

NOW, THEREFORE, in accordance with the obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell and transfer to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNORS' entire right, title, and interest in and to said invention in the United States and its territorial possessions and in any and all foreign countries and to all Letters Patent or similar legal protections in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any application claiming priority to/from the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension, or reissue thereof or any legal equivalent thereof in a foreign country for the full term(s) for which the same may be granted; and authorize and request the Commissioner for Patents of the United States and any official of any foreign country

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whose duty it is to issue patents or legal equivalents thereof, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue, and/or enforce said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and signed on the date indicated below:

### **SIGNATURES**

The signatures must correspond to the name(s) of the inventor(s) above.

## **INVENTOR#1**

Ii Ung (NMN) Lee Date Signed

State of New York

County of Schenectady )

Submitted and sworn to, or affirmed before me this 22 day of Spenber, 2003

) SS:

Signature of Notary Public: \_\_\_\_\_\_

My Commission Expires: February 22, 2006

SEÁL)

MONICA A GENTILE
Notary Public-State of New York
Chalified in Schenectady County
No. 010E6037577
Sty Commission Expires February 22, 2006

Docket No.: 125695-1 (RD-30593) INVENTOR # 2

William Hullinger Huber

Date Signed

State of New York

Submitted and sworn to, or affirmed before me this 18th day of September, 2003.

Signature of Notary Public: Marca A. Skall (SEAL).

My Commission Expires: February 22, 2006

MONICA A. GENTILE
Notary Public State of New York
Qualified in Schenectady County
No. 010E-6007577
My Commission Expires February 22. 2006